

6.5 HOURS CLE CREDIT (INCLUDING 1 HR ETHICS)



41st Annual Conference on

Legal Issues for Financial Institutions

FRIDAY, OCTOBER 15, 2021

THE CAMPBELL HOUSE
LEXINGTON, KENTUCKY

REMOTE ATTENDANCE OPTION AVAILABLE

Legal Issues for Financial Institutions

FRIDAY, OCTOBER 15, 2021

REGISTRATION INFORMATION

Preregistration is strongly recommended. Registration prices can be found on the registration form. This program is accredited by the Kentucky Bar Association for 6.5 hours of CLE credit, including 1 hour of Ethics credit. Other "60 minute states" (such as Indiana and Tennessee) should qualify as well. "50 minute states" (such as West Virginia) should qualify this program for 8.0 hours of CLE credit, including 1 hour of Ethics credit.

CANCELLATIONS, REFUNDS & SUBSTITUTIONS

Upon cancellation, a full refund of tuition (less \$50 administrative charge) will be given up to 4:30 p.m. EST, October 8, 2021. Registrants who cancel may still request course materials. If requested, course materials will be mailed after the seminar and the cost will be deducted from any refund. **No refunds are available for cancellations after the deadline**; however, course materials will be mailed after the seminar in full consideration for tuition paid. **Registrations are transferable** and substitution of registrants may be made any time before the seminar begins.

SEMINAR LOCATION & PARKING INFORMATION

The seminar will be held at The Campbell House, located at 1375 South Broadway in Lexington, Kentucky. The participant room block is for the night of October 14, 2021. Rooms will be held until September 22, 2021, or until sold out, at the rate of \$135 per night. Reserve rooms directly with the hotel, by calling 859-255-4281 and identifying yourself as being with the group *University of Kentucky Office of Continuing Legal Education*. There is no additional fee to park at the hotel.

REMOTE ATTENDANCE OPTION

This Conference will offer the option of attending virtually via live-streamed video. Instructions will be provided if you select this option on the registration form. You will need stable, high-speed internet access and a computer, laptop, tablet, or other mobile device.

COVID-19 SAFETY PLAN

If it is deemed unsafe to hold this Institute in person, please note that it will be offered as a live, interactive Zoom Webinar on the same dates and following the same agenda times. We will notify registrants of this change as soon as is practicable. See registrant cancellation policy above.

2021 PLANNING COMMITTEE

ELLEN SHARP, Conference Chair
Fifth Third Bank National Association

WILLIAM T. REPASKY
Frost Brown Todd LLC

RICHARD A. VANCE
Stites & Harbison PLLC

JOHN RYAN
Stock Yards Bank

LEA PAULEY GOFF
Stoll Keenon Ogden PLLC

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JOHN T. MCGARVEY
Morgan Pottinger McGarvey

M. THURMAN SENN
Morgan Pottinger McGarvey

MARTHA A. ZISKIND
Wyatt, Tarrant & Combs

J. CHRISTOPHER GARDILL
Phillips, Gardill, Kaiser & Altmeyer, PLLC

STEPHANIE R. RENNER
Limestone Bank

JOHN THACKER
Independence Bank

7:50 A.M. IN-PERSON ATTENDEES: REGISTRATION TABLE OPENS / CONTINENTAL BREAKFAST

8:00 A.M. REMOTE ATTENDEES: JOIN WEBINAR ZOOM MEETING

8:25 A.M. WELCOME AND ANNOUNCEMENTS

8:35 A.M. ANNUAL LEGISLATIVE AND UCC UPDATE FOR BANK LAWYERS
John T. McGarvey, Morgan Pottinger McGarvey

9:35 A.M. KEY CASE LAW UPDATE FOR BANK COUNSEL
M. Thurman Senn, Morgan Pottinger McGarvey

10:20 A.M. MORNING BREAK

10:35 A.M. LEGAL ISSUES IN BANK TECHNOLOGY
William T. Repasky, Frost Brown Todd LLC
Matthew J. Regan, FDIC, Chicago Office

11:25 A.M. INSOLVENCY AND WORKOUT UPDATE FOR BANK COUNSEL
Lea Pauley Goff, Stoll Keenon Ogden PLLC

12:15 P.M. LUNCH BREAK (Box Lunch Provided)

12:25 P.M. LUNCHEON PRESENTATION: AN UPDATE FROM THE KY. DEP'T OF FINANCIAL INSTITUTIONS
Charles A. Vice, Commissioner,
Kentucky Department of Financial Institutions

1:15 P.M. LEGAL ETHICS CONSIDERATIONS DURING AN ECONOMIC DOWNTURN
Martin B. Tucker, Dinsmore & Shohl LLP

2:15 P.M. AFTERNOON BREAK

2:30 P.M. PPP AND SBA ISSUES FOR BANKS
Ellen Sharp, Fifth Third Bank National Association
John Ryan, Stock Yards Bank

3:15 P.M. THE NDAA AND THE BANK SECRECY ACT
Nancy Presnell, Frost Brown Todd LLC

3:45 P.M. COMMERCIAL REAL ESTATE ISSUES FOR BANK COUNSEL
Emily H. Cowles, Wyatt Tarrant & Combs LLP

4:35 P.M. ADJOURN CONFERENCE

4:45 P.M. COCKTAIL / NETWORKING HOUR
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Rec		
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- I would like to attend the Conference in-person at The Campbell House, and:
 - I would like the course materials in **hard-copy** format. I enclose conference tuition of **\$405**.
 - I would like the course materials in **PDF** format. I enclose conference tuition of **\$375**.

I understand that for safety reasons, the Institute may be moved to the webinar format depending on circumstances surrounding the COVID-19 pandemic.

- I would like to attend the Conference remotely via a live video stream with the course materials in **PDF format**.
I enclose conference tuition of **\$375**.

- I can not attend the Conference, but would like to purchase the course materials:
 - I would like the course materials in **hard-copy** format. I enclose **\$60.00**. (Ky. residents add 6% sales tax for a total of \$63.60.)
 - I would like the course materials in **PDF** format. I enclose **\$45.00**. (Ky. residents add 6% sales tax for a total of \$47.70.)

TO PAY BY CREDIT CARD: Call us at (859) 257-2921 and we can take your order and credit card information in one step. We can accept Visa, MasterCard, AmEx, or Discover.

TO PAY BY CHECK: Mail this form with your check, made payable to the University of Kentucky, to our office: UK Office of Continuing Legal Education, 660 South Limestone, Lexington 40506-0417. A \$25.00 fee will be charged for all returned checks. USPS First Class Mail may take up to 14 days to arrive.

NAME

FIRM / AFFILIATION PHONE

STREET AND MAILING ADDRESS E-MAIL ADDRESS (required)

CITY STATE ZIP CODE